Template:	Norwegian and Non-Norwegian NGOs		Revision no:.	1
Amendment/Addendum	Grant Management Regime I, II and III	- 341	Date:	01.03.2016

ADDENDUM NUMBER 4 TO AGREEMENT BETWEEN THE NORWEGIAN MINISTRY OF FOREIGN AFFAIRS AND THE GERMAN MARSHALL FUND OF THE U.S. (BALKAN TRUST FOR DEMOCRACY) CONCERNING SRB-17/0001 BTD – CSO GRANT SCHEME IN SERBIA, MONTENEGRO AND NORTH MACEDONIA

1 BACKGROUND

- 1.1 The Norwegian Ministry of Foreign Affairs (MFA) represented by the Royal Norwegian Embassy in Belgrade (the Embassy) and the German Marshall Fund of the United States with its project Balkan Trust for Democracy (GMF/BTD) (Grant Recipient) (jointly referred to as the Parties) has entered into an agreement dated 5 December 2017 (the Agreement) as amended by Addendum number 2 dated 7 December, 2018 concerning SRB-17/0001 BTD CSO Grant Scheme in Serbia, Montenegro and North Macedonia (the Project).
- 1.2 The Grant Recipient has submitted a request to MFA dated 13 June, 2019 regarding additional financial support to the Project, extension of the Support Period, and extending the Call for proposals to include EU negotiations Chapters 10, 15, 27 and 31, in addition to the existing chapters encompassed by the Call, with which MFA has decided to comply.
- 1.3 The Parties have agreed to amend the Agreement through this addendum number 4 (the Addendum), which shall be an integrated part of the Agreement.

2 EXTENSION OF THE SUPPORT PERIOD

2.1 The Support Period set forth in the Agreement shall hereby be extended to 17 May 2022.

3 ADDITIONAL GRANT

- 3.1 MFA shall, subject to Norwegian parliamentary appropriations and on the terms and conditions of the Agreement, including previous addenda, and this Addendum, provide an additional grant not exceeding 15,000,000 NOK (Norwegian Kroner fifteen million) (the Additional Grant).
- 3.2 The Additional Grant shall be used exclusively to finance the Project, as specified in the budget attached as Annex A to this Addendum, and in the timeline of activities during the Support Period.
- 3.3 The Additional Grant shall be disbursed upon written request as described in the Agreement.

4 REMAINING CONDITIONS OF THE AGREEMENT

- 4.1 All other provisions of the Agreement shall remain unchanged and in force.
- 4.2 This Addendum No 4 amends the Addendum No 3 in terms of budget as specified in the Annex A attached to this Addendum and in timeline of activities during the Support Period.

5 ENTRY INTO FORCE AND DURATION

5.1 The Addendum shall enter into force on the date of the last signature and remain in force until all obligations arising from it have been fulfilled.



Template:	Norwegian and Non-Norwegian NGOs	· · · · · · · · · · · · · · · · · · ·	Revision no:.	1
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This Addendum has been signed in two -2- original copies in the English language, whereof the Parties keep one each. In the event of any discrepancies between this English language version and any later translations, the English language version shall prevail.

Place: Belgrade

Date: 05,09.2019

Washington Ac 05.09.2019

for the Norwegian Ministry of Foreign Affairs,

for the German Marshall Fund of the U.S.,

Janne Knutrud

Chargé d'affaires a.i.

Timothy Childress

GMF Vice-President

Embassy in Belgrade

Attachments:

Annex A: Revised budget Annex B: Logical framework

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PLEASE MODIFY THE BUDGET TO THE PROJECT NEEDS. The budget should be realistic, cost effective and in line with local market costs, including cost for human resources. The budget is to be presented in EUR. If salaries or fees are to be paid, gross amounts should be presented. If the costs are to be shared among different parties, this division may be presented in the budget by adding new columns. The description of all budget tems must be sufficiently detailed and all items broken down into their main components. The nuber of units and unit rate must be specified for each component.

NB: The Applicant is responsible for the correctness of the financial information provided .

March 101

BTD BudgetRevised August 2019_09022019 FINAL BUDGET

RESULTS FRAMEWORK:

			Indicator data					
LEVEL	EXPECTED RESULT	INDICATORS	BASELINE Y0	TARGET Y1 (from September 2019 to January 2020)	TARGET Y2 (from January 2020 to January 2021)	FINAL TARGET YX (from September 2019 to May 2022)	Data source of verification	Comments
IMPACT	Enhancement of efficient implementation of democratic reforms in the process of Euroatlantic integration of Macedonia, Montenegro and Serbia.	CSOs capacities for implementation of reforms are increased; Enlarged support for Euroatlantic driven reforms and implementation of EU standards.	Insufficient involvement of civil sector organizations in EU integration processes in all three countries individually*	n/a	CSOs are strengthened in their endeavors to develop and implement future initiatives focused on Euroatlantic integration in North Macedonia, Montenegro and Serbia.	CSOs are strengthened in their endeavors to develop and implement future initiatives focused on Euroatlantic integration in Macedonia, Montenegro and Serbia. Their advisory and monitoring roles are strengthened, and voice are more heard and taken into account.	Insight in various country progress reports, by EC, OECD, BCSDN, USAID, World Bank and others (reports regarding civil sector in Macedonia, Montenegro and Serbia, and all other relevant reports touching upon civil sector involvement in reform processes along the Euroatlantic integration of the countries in WB region.)	*Rather sporadic than systematic involvement has been recorded since the beginning of the endeavors; current low predisposition of decision- makers towards inclusion of civil sector (although existing, not enough policies are in place to include CSOs in EU integration processes)
Outcome 1	Contribution to increase of effective CSOs' initiatives from North Macedonia, Montenegro and Serbia focused on	The number of elaborate, visible and well-targeted initiatives set off by civil sector, related to negotiations process	Low inclusion of civil sector in the key reforms in Macedonia, Montenegro and Serbia;	Up to 5 grants awarded (all three lots counted*)	Up to 15 grants awarded (all three lots counted)	Up to 26 projects** are set off, stemming directly from this regranting scheme. CSOs are strengthened in their endeavors to develop and implement future initiatives focused on Euroatlantic	Insight in various country progress reports; Reports from the sub grantees (progress and/or interim and final);	* LOT I − for grants of up to €20,000 and 6- 8 months of implementation ; LOT II − for grants from

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	advocacy and	with the EU in three	Limited and		integration in North Macedonia,	Progress and final BTD	€20,000 up to
	monitoring of EU	countries.	reduced funds		Montenegro and Serbia	financial and narrative	€100,000 for 12
	integration		available to			reports to RNE, audit	months of
	processes		civil society in			reports,	implementation
			North			BTD website, website	;
			Macedonia,			of the Royal Norwegian	LOT III – for
			Serbia and			Embassy in Belgrade.	grants from
			Montenegro.				€100,000 up to
			Up to the				€200,000
			submission of				intended for
			this proposal				coalitions of
			15 well				CSOs and 18-
			targeted				24 months of
1			initiatives were				implementation
			supported				**For the Lot I
			through this				and Lot II the
			grant scheme.				Call for
							proposals will
							be open on a
							rolling basis.
							Number of
							awarded grants
							will depend on
							quality of
							proposals
							received along
			,				the program
							implementation
							period, so
							target for Y1
							and Y2 may
							vary. For the
							Lot II the Call
							will be open for
1							one month and
							also number .of

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								awards will depend on quality of proposals.
OUTPUT 1.1	Grant-making documentation prepared, and call published	Documentation for call for applications drafted and sent to Royal Norwegian Embassy in Belgrade for approval; Call for applications.	Existing BTD grantmaking documentation and procedures and previous.	Tailormade grantmaking documentation and procedures for this grantmaking scheme; Call for applications published; Sub- granting scheme established	Call adjusted and redesigned*	Sub-granting tailor-made program established	Grantmaking documentation (Call for applications, narrative and financial project proposal template, assessment criteria, sub-granting agreement template (Grant Letter), financial and narrative report template); BTD website; Social media outlets	*The Call will be adjusted to reflect the ongoing Euroatlantic process unrolling in th three targeted countries. Additional negotiations chapters may be added or some withdrawn.
OUTPUT 1.2	Call for applications promotion*	Call for applications published; Call promoted in relevant media (traditional and digital media activities); Number of partners informed.	Existing BTD grantmaking promotional activities and procedures; Royal Norwegian Embassy in Belgrade and partners channels of communicating the grant opportunities.	1 call for application published and promoted; At least 3 partner organizations/instituti ons in 3 countries shared information about the call to their constituencies.	n/a	1 call for application published and promoted; At least 3 partner organizations/institutions in each of 3 countries shared information about the call to their constituencies; Up to 2000 CSO's in North Macedonia, Montenegro and Serbia indirectly informed about this granting opportunity.	Digital media statistics; Partners' webpages and insight into different available outlets.	*The promotion will be also streamlined along the various direct meetings and convening activities that BTD and its partners are part of.
OUTPUT 1.3	Received project proposals assessed	Number of project proposals received and	In the first phase of the	Number of proposals received; Grants		Number of project proposals received*; Number of project	Emails with project proposals received; No-	*it is expected that the
	' '	assessed; Number of	regranting	Review Committee		proposals recommended; Grants	objection statements	number of

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	and recommended for support	BTD Grants Review Committee sessions held; Number of project proposals recommended to Norwegian Embassy for approval and no- objection statements received; Number of GMF Board session	scheme supported by RNE (from December 2017-ongoing) 71 full applications for 5 targeted chapters were received from 3 countries.	sessions held, number of no-objection statements received from Norwegian Embassy; and GMF Board sessions held		Review Committee sessions held and GMF Board sessions held	from Norwegian Embassy; Documentation for GRC and GMF Board sessions.	applications may be up to 150 full applications (for all three countries; for 10 Chapters targeted by the call)
OUTPUT 1.4	Grants awarded	held. Number of project proposals approved for BTD support	15 grants awarded in the first phase of this sub- granting scheme supported by the RNE.	Up to 5 grants awarded*	Up to 15 grants awarded	Up to 26 grants awarded	Action Sheets prepared after each GMF Board session; Grant Letters signed; Funds disbursed.	* Targets for Y1 and Y2 may vary, having in mind quality and scope of received project proposals throughout the program implementation
OUTPUT 1.5	Monitoring visits conducted and reports evaluated	Number of travels; Number of financial and narrative reports received and accepted.	6 monitoring visits conducted along the first phase of implementatio n of this regranting scheme in all three countries.	6* monitoring visits conducted.	10 monitoring visits conducted, Up to 18 narrative and financial progress reports received and accepted.	16 monitoring visits conducted; Up to 26 narrative and financial final reports received and accepted.	Progress and final financial and narrative reports from grantee. BTD project and annual report	*Targets for Y1 and Y2 may vary depending of the number of grants awarded.
OUTPUT 1.6	Progress and final narrative and financial reports	Compliance with contractual obligations	Sub-granting scheme grant agreement signed	Compliance with provisions of signed agreement	Compliance with provisions of signed agreement	Compliance with provisions of signed agreement	Sub-granting scheme grant agreement; Progress and final	



	produced and submitted						narrative and financial reports	
OUTCOME 2	Regional and/or cross-sectoral cooperation strengthened and exchange of good practices along the reform process in three countries enhanced.	Good practices and experiences exchanged among key stakeholders of the three countries	Insufficient exchange of knowledge and good practices along the Euroatlantic integration process in the region.	n/a	n/a	Regional cooperation and exchange of good practices enhances	Number of meetings; Meeting minutes; Agendas; Lists of participants	
OUTPUT 2.1	Policy dialogue and exchange of experience workshops organized	Most important common issues are targeted, discussed and conclusions are made.	Along the first phase of the project implementatio n 2 regional conferences were organized for the participants from three countries (North Macedonia, Montenegro and Serbia)	1 meeting/conference organized	Up to 2 joint meetings/conferences/works hops organized and supported.	Up to 3 regional meetings/conferences/workshops organized and supported.	BTD report; Meetings/conferences reports and minutes; Press releases; Media outlets, Partner and sub-grantees reports.	*This number will change along the grant scheme process, depending of the sub grantees regional component in their project proposals.

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