

Template:	Norwegian and Non-Norwegian NGOs	Revision no.:	1
Amendment/Addendum	Grant Management Regime I, II and III	Date:	01.03.2016

ADDENDUM NUMBER 4 TO AGREEMENT BETWEEN THE NORWEGIAN MINISTRY OF FOREIGN AFFAIRS AND THE GERMAN MARSHALL FUND OF THE U.S. (BALKAN TRUST FOR DEMOCRACY) CONCERNING SRB-17/0001 BTD – CSO GRANT SCHEME IN SERBIA, MONTENEGRO AND NORTH MACEDONIA

1 BACKGROUND

- 1.1 The Norwegian Ministry of Foreign Affairs (MFA) represented by the Royal Norwegian Embassy in Belgrade (the Embassy) and the German Marshall Fund of the United States with its project Balkan Trust for Democracy (GMF/BTD) (Grant Recipient) (jointly referred to as the Parties) has entered into an agreement dated 5 December 2017 (the Agreement) as amended by Addendum number 2 dated 7 December, 2018 concerning SRB-17/0001 BTD – CSO Grant Scheme in Serbia, Montenegro and North Macedonia (the Project).
- 1.2 The Grant Recipient has submitted a request to MFA dated 13 June, 2019 regarding additional financial support to the Project, extension of the Support Period, and extending the Call for proposals to include EU negotiations Chapters 10, 15, 27 and 31, in addition to the existing chapters encompassed by the Call, with which MFA has decided to comply.
- 1.3 The Parties have agreed to amend the Agreement through this addendum number 4 (the Addendum), which shall be an integrated part of the Agreement.

2 EXTENSION OF THE SUPPORT PERIOD

- 2.1 The Support Period set forth in the Agreement shall hereby be extended to 17 May 2022.

3 ADDITIONAL GRANT

- 3.1 MFA shall, subject to Norwegian parliamentary appropriations and on the terms and conditions of the Agreement, including previous addenda, and this Addendum, provide an additional grant not exceeding 15,000,000 NOK (Norwegian Kroner fifteen million) (the Additional Grant).
- 3.2 The Additional Grant shall be used exclusively to finance the Project, as specified in the budget attached as Annex A to this Addendum, and in the timeline of activities during the Support Period.
- 3.3 The Additional Grant shall be disbursed upon written request as described in the Agreement.

4 REMAINING CONDITIONS OF THE AGREEMENT

- 4.1 All other provisions of the Agreement shall remain unchanged and in force.
- 4.2 This Addendum No 4 amends the Addendum No 3 in terms of budget as specified in the Annex A attached to this Addendum and in timeline of activities during the Support Period.

5 ENTRY INTO FORCE AND DURATION

- 5.1 The Addendum shall enter into force on the date of the last signature and remain in force until all obligations arising from it have been fulfilled.

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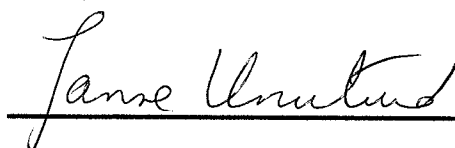
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This Addendum has been signed in two -2- original copies in the English language, whereof the Parties keep one each. In the event of any discrepancies between this English language version and any later translations, the English language version shall prevail.

Place: Belgrade

Date: 05.09.2019

Washington DC
05.09.2019



for the Norwegian Ministry of Foreign Affairs,

Janne Knutrud

Chargé d'affaires a.i.

Embassy in Belgrade



for the German Marshall Fund of the U.S.,

Timothy Childress

GMF Vice-President

Attachments:

Annex A: Revised budget

Annex B: Logical framework

This is a suggested template for results framework for projects/programmes to be supported by the Norwegian Ministry of Foreign Affairs. The approved results framework will be included as a part of the agreement between the MFA and grant recipient. It is therefore important that the results framework is consistent and realistic.

RESULTS FRAMEWORK:

LEVEL	EXPECTED RESULT	INDICATORS	Indicator data				Data source of verification	Comments
			BASELINE Y0	TARGET Y1 (from September 2019 to January 2020)	TARGET Y2 (from January 2020 to January 2021)	FINAL TARGET YX (from September 2019 to May 2022)		
IMPACT	Enhancement of efficient implementation of democratic reforms in the process of Euroatlantic integration of Macedonia, Montenegro and Serbia.	CSOs capacities for implementation of reforms are increased; Enlarged support for Euroatlantic driven reforms and implementation of EU standards.	Insufficient involvement of civil sector organizations in EU integration processes in all three countries individually*	n/a	CSOs are strengthened in their endeavors to develop and implement future initiatives focused on Euroatlantic integration in North Macedonia, Montenegro and Serbia.	CSOs are strengthened in their endeavors to develop and implement future initiatives focused on Euroatlantic integration in Macedonia, Montenegro and Serbia. Their advisory and monitoring roles are strengthened, and voice are more heard and taken into account.	Insight in various country progress reports, by EC, OECD, BCSDN, USAID, World Bank and others (reports regarding civil sector in Macedonia, Montenegro and Serbia, and all other relevant reports touching upon civil sector involvement in reform processes along the Euroatlantic integration of the countries in WB region.)	*Rather sporadic than systematic involvement has been recorded since the beginning of the endeavors; current low predisposition of decision-makers towards inclusion of civil sector (although existing, not enough policies are in place to include CSOs in EU integration processes)
Outcome 1	Contribution to increase of effective CSOs' initiatives from North Macedonia, Montenegro and Serbia focused on	The number of elaborate, visible and well-targeted initiatives set off by civil sector, related to negotiations process	Low inclusion of civil sector in the key reforms in Macedonia, Montenegro and Serbia;	Up to 5 grants awarded (all three lots counted*)	Up to 15 grants awarded (all three lots counted)	Up to 26 projects** are set off, stemming directly from this re-granting scheme. CSOs are strengthened in their endeavors to develop and implement future initiatives focused on Euroatlantic	Insight in various country progress reports; Reports from the sub grantees (progress and/or interim and final);	* LOT I – for grants of up to €20,000 and 6-8 months of implementation; LOT II – for grants from

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	<i>advocacy and monitoring of EU integration processes</i>	<i>with the EU in three countries.</i>	<i>Limited and reduced funds available to civil society in North Macedonia, Serbia and Montenegro. Up to the submission of this proposal 15 well targeted initiatives were supported through this grant scheme.</i>			<i>integration in North Macedonia, Montenegro and Serbia</i>	<i>Progress and final BTD financial and narrative reports to RNE, audit reports, BTD website, website of the Royal Norwegian Embassy in Belgrade.</i>	<i>€20,000 up to €100,000 for 12 months of implementation; LOT III – for grants from €100,000 up to €200,000 intended for coalitions of CSOs and 18-24 months of implementation. **For the Lot I and Lot II the Call for proposals will be open on a rolling basis. Number of awarded grants will depend on quality of proposals received along the program implementation period, so target for Y1 and Y2 may vary. For the Lot II the Call will be open for one month and also number of</i>
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								<i>awards will depend on quality of proposals.</i>
OUTPUT 1.1	Grant-making documentation prepared, and call published	Documentation for call for applications drafted and sent to Royal Norwegian Embassy in Belgrade for approval; Call for applications.	Existing BTD grantmaking documentation and procedures and previous.	Tailormade grantmaking documentation and procedures for this grantmaking scheme; Call for applications published; Sub-granting scheme established	Call adjusted and redesigned*	Sub-granting tailor-made program established	Grantmaking documentation (Call for applications, narrative and financial project proposal template, assessment criteria, sub-granting agreement template (Grant Letter), financial and narrative report template); BTD website; Social media outlets	*The Call will be adjusted to reflect the ongoing Euroatlantic process unrolling in the three targeted countries. Additional negotiations chapters may be added or some withdrawn.
OUTPUT 1.2	Call for applications promotion*	Call for applications published; Call promoted in relevant media (traditional and digital media activities); Number of partners informed.	Existing BTD grantmaking promotional activities and procedures; Royal Norwegian Embassy in Belgrade and partners channels of communicating the grant opportunities.	1 call for application published and promoted; At least 3 partner organizations/institutions in 3 countries shared information about the call to their constituencies.	n/a	1 call for application published and promoted; At least 3 partner organizations/institutions in each of 3 countries shared information about the call to their constituencies; Up to 2000 CSO's in North Macedonia, Montenegro and Serbia indirectly informed about this granting opportunity.	Digital media statistics; Partners' webpages and insight into different available outlets.	*The promotion will be also streamlined along the various direct meetings and convening activities that BTD and its partners are part of.
OUTPUT 1.3	Received project proposals assessed	Number of project proposals received and assessed; Number of	In the first phase of the regranting	Number of proposals received; Grants Review Committee		Number of project proposals received*; Number of project proposals recommended; Grants	Emails with project proposals received; No-objection statements	*it is expected that the number of

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	<i>and recommended for support</i>	<i>BTD Grants Review Committee sessions held; Number of project proposals recommended to Norwegian Embassy for approval and no-objection statements received; Number of GMF Board session held.</i>	<i>scheme supported by RNE (from December 2017-ongoing) 71 full applications for 5 targeted chapters were received from 3 countries.</i>	<i>sessions held, number of no-objection statements received from Norwegian Embassy; and GMF Board sessions held</i>		<i>Review Committee sessions held and GMF Board sessions held</i>	<i>from Norwegian Embassy; Documentation for GRC and GMF Board sessions.</i>	<i>applications may be up to 150 full applications (for all three countries; for 10 Chapters targeted by the call)</i>
OUTPUT 1.4	Grants awarded	Number of project proposals approved for BTD support	15 grants awarded in the first phase of this sub-granting scheme supported by the RNE.	Up to 5 grants awarded*	Up to 15 grants awarded	Up to 26 grants awarded	Action Sheets prepared after each GMF Board session; Grant Letters signed; Funds disbursed.	* Targets for Y1 and Y2 may vary, having in mind quality and scope of received project proposals throughout the program implementation.
OUTPUT 1.5	Monitoring visits conducted and reports evaluated	Number of travels; Number of financial and narrative reports received and accepted.	6 monitoring visits conducted along the first phase of implementation of this regranting scheme in all three countries.	6* monitoring visits conducted.	10 monitoring visits conducted, Up to 18 narrative and financial progress reports received and accepted.	16 monitoring visits conducted; Up to 26 narrative and financial final reports received and accepted.	Progress and final financial and narrative reports from grantee. BTD project and annual report	*Targets for Y1 and Y2 may vary depending of the number of grants awarded.
OUTPUT 1.6	Progress and final narrative and financial reports	Compliance with contractual obligations	Sub-granting scheme grant agreement signed	Compliance with provisions of signed agreement	Compliance with provisions of signed agreement	Compliance with provisions of signed agreement	Sub-granting scheme grant agreement; Progress and final	

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	<i>produced and submitted</i>						<i>narrative and financial reports</i>	
OUTCOME 2	<i>Regional and/or cross-sectoral cooperation strengthened and exchange of good practices along the reform process in three countries enhanced.</i>	<i>Good practices and experiences exchanged among key stakeholders of the three countries</i>	<i>Insufficient exchange of knowledge and good practices along the Euroatlantic integration process in the region.</i>	<i>n/a</i>	<i>n/a</i>	<i>Regional cooperation and exchange of good practices enhances</i>	<i>Number of meetings; Meeting minutes; Agendas; Lists of participants</i>	
OUTPUT 2.1	<i>Policy dialogue and exchange of experience workshops organized</i>	<i>Most important common issues are targeted, discussed and conclusions are made.</i>	<i>Along the first phase of the project implementation 2 regional conferences were organized for the participants from three countries (North Macedonia, Montenegro and Serbia)</i>	<i>1 meeting/conference organized</i>	<i>Up to 2 joint meetings/conferences/workshops organized and supported.</i>	<i>Up to 3 regional meetings/conferences/workshops organized and supported.</i>	<i>BTD report; Meetings/conferences reports and minutes; Press releases; Media outlets, Partner and sub-grantees reports.</i>	<i>*This number will change along the grant scheme process, depending of the sub grantees regional component in their project proposals.</i>

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